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March 31, 2017

Mr. Mark Samuelson
Acting Chief, Division of Local Assistance
California Department of Transportation
P.O. Box 942874 MS #1
Sacramento, CA 94274-0001

Re: MTC's Federal Fiscal Year (FFY) 2016-17 Annual Obligation Plan

Dear Mr. Samuelson:

The Metropolitan Transportation Commission (MTC) is submitting the FFY 2016-17 Annual Obligation Plan for the following funding programs for the San Francisco Bay Area:

- Regional Surface Transportation Program (RSTP)
- Congestion Mitigation and Air Quality Improvement (CMAQ)
- Local Highway Safety Improvement Program (HSIP)
- Regional Transportation Improvement Program (RTIP)
- Active Transportation Program (ATP)

The region expects to deliver roughly 163 local federal-aid projects (or project phases) totaling roughly \$241 million in these programs. The table below shows the expected obligations against estimated apportionments.

This obligation plan was developed with the assumption that Caltrans already has delivery information for the Local Bridge Program based on periodic sponsor surveys.

With regards to the RSTP and CMAQ funding, MTC expects to deliver roughly \$185 million, which is 102 percent of our estimated \$182 million FFY 2016-17 apportionment (including prior-year carryover balance) and 155 percent of our expected FFY 2016-17 Obligation Authority (OA) target for RSTP/CMAQ. This plan depends on the ability of MTC to advance future OA against other region's unused funds and capturing unused OA from other states (August OA redistribution).

The attached project list is our best estimate of FFY 2016-17 obligations as of March 31, 2017, and as always, is subject to change. Our annual obligation plan contains projects already obligated and expected to be obligated during the entire fiscal year, not just those projects to be obligated after April 1, 2017. We hope this complete list provides the Department with an overall picture of the region's delivery commitment and priorities for the entire federal fiscal year.

Projects on this list had priority for funding until our obligation deadline of January 31. After January 31 it is first-come-first served with regards to obligating against available apportionment and OA. Any project may be advanced after January 31 using the Expedited Project Selection Process (EPSP).

In developing this obligation plan we constrained the total amount to roughly the amount of new and carryover apportionment expected to be available to the region. We fully expect project sponsors to request obligations for additional projects. This will of course be contingent upon the availability of extra apportionment and OA. We expect to run out of both apportionment and OA for STP/CMAQ funds later this spring.

Starting in fiscal year 2010-11, MTC expanded its project delivery and monitoring efforts to include the local HSIP program managed by Caltrans, and starting, in fiscal year 2016-17, the regional obligation deadline was advanced from April 30 to January 31. Our intent is to apply our regional delivery deadlines to all FHWA-formula funds programmed to local projects in MTC's federal Transportation Improvement Program (TIP). This is consistent with, and in response to Caltrans' effort to have the local federal-aid programs deliver earlier in the fiscal year. We appreciate the Department's support of our regional January 31 obligation deadline for all local FHWA-administered funds programmed in the current fiscal year of the federal TIP.

MTC RSTP/CMAQ/RTIP/Local Safety Programs Obligation Commitments and Priorities for FFY 2016-17

As of March 31, 2017

FFY 2016-17	RSTP	CMAQ	RSTP/CMAQ Sub-Total	HSIP **	STIP	ATP	Total
(amounts in millions)							
FFY 2016-17 Apportionment *	\$85.40	\$96.50	\$181.90	\$16.20	\$5.70	\$34.30	\$238.10
Obligations (Planned)	\$89.50	\$95.50	\$185.00	\$16.20	\$5.70	\$34.30	\$241.20
Apportionment Balance after Obligations	(\$4.10)	\$1.00	(\$3.10)	\$0.00	\$0.00	\$0.00	(\$3.10)

* Estimated apportionment based on FFY 2016-17 estimates provided by Caltrans Oct 1, 2016.

** Local Safety delivery based on responses from local project sponsors. Caltrans may have more accurate information on these programs.

Mark Samuelson
MTC FFY 2016-17 Obligation Plan
March 31, 2017
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Please feel free to contact me at rmckeown@mtc.ca.gov or 415-778-5242 or Marcella Aranda of my staff at maranda@mtc.ca.gov or 415-778-5214 if you require further information on the annual obligation plan for the MTC region.

Sincerely,



Ross McKeown

Programming and Funding Manager,
Programming and Allocations Section

Attachment – MTC's FFY 2016-17 Annual Obligation Plan dated March 31, 2017

cc: John Flores, Caltrans HQ Local Assistance
Peggy Sui, Caltrans HQ Local Assistance
Daniel Burke, Caltrans HQ Local Assistance
Sylvia Fung, Caltrans District 4 Local Assistance
Peter Anderson, Caltrans HQ Local Assistance
Adam Ambrosini, Caltrans HQ Local Assistance

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District	MPO/RTPA	Local Agency	Project Number <small>Enter ac: STP 1234(567). If not known, please provide the project FTIP or PPNO number.</small>	Project Location <small>Provide location of project: street name, highway, cross-street, etc.</small>	Project Scope / Description <small>Provide scope of project and brief description.</small>	Planned Date of Obligation from 10/01/16 to 09/30/17 <small>(please enter as MM/DD/YY)</small>	Federal Fund Type						Total Federal Obligation	Remarks <small>Enter comments or additional information. Provide contact names & phone numbers or email addresses for</small>	
							RSTP \$	CMAQ \$	BRIDGE \$ <small>(formerly HBB)</small>	HSIP \$ <small>(formerly HES)</small>	SRTS \$	STIP \$			ATP \$
4	MTC	Santa Rosa	STPL-5028(076)	Santa Rosa: Complete Sreets Road Diet on Transit Corridors	Santa Rosa Complete Sreets Road Diet on Transit Corridors	01/31/17	\$ 2,195,375							\$ 2,195,375	Obligated
4	MTC	Santa Rosa	HSIPL-5028(-)	Santa Rosa: H8-04-026	Flashing Yellow Arrow Signal Conversion H8-04-026	07/31/17				\$ 110,000				\$ 110,000	-
4	MTC	SCTA	CMLNI-6364(108)	Santa Rosa Car Share	Santa Rosa Car Share	01/31/17		\$ 170,130						\$ 170,130	Obligated
4	MTC	Sonoma County	STPL-5920(153)	Sonoma Co: Bodega Highway Pavement Rehabilitation	Bodega Highway Pavement Rehabilitation	01/31/17	\$ 1,000,000							\$ 1,000,000	Obligated
4	MTC	Sonoma County	STPL-5920(154)	Sonoma Co: Various Streets & Roads	Sonoma County Various Streets & Roads	01/31/17	\$ 3,377,000							\$ 3,377,000	Obligated
4	MTC	Sonoma County	HSIPL-5920(-)	Sonoma Co: Lakeville Road	Lakeville Road Rumble Strip and Enhanced Striping	07/31/17				\$ 105,200				\$ 105,200	-
4	MTC	Sonoma County Reg Parks	CML-5920(142)	Sonoma Co: Central Sonoma Valley Trail	Central Sonoma Valley Trail	01/31/17		\$ 340,000						\$ 340,000	RFA at FHWA
4	MTC	Sonoma County Transit	FTASTPL-6331(004)	Sonoma County Transit: Replacement	Sonoma County Transit: Replacement	01/31/17	\$ 199,667							\$ 199,667	Transferred
4	MTC	Windsor	CML-5472(019)	Windsor: Conde Ln/Johnson St Pedestrian	Conde Ln/Johnson St Pedestrian	01/31/17		\$ 432,000						\$ 432,000	Obligated
4	MTC	Windsor	CML-5472(020)	Windsor: Bell Rd/Market St/Windsor River Rd Ped	Bell Rd/Market St/Windsor River Rd Ped	01/31/17		\$ 410,000						\$ 410,000	Obligated